Hear From Directors, Officers, Insurance Buyers & Risk Managers

Unparalleled networking opportunities with Directors, Officers, Risk Managers and Insurance Buyers in addition to Underwriters, Brokers and Claims professionals from the insurance market around the world, including:

- Aligning your firm’s practices with the needs of clients; understand exactly how insurance buyers select and evaluate their insurers
- LIBOR Investigations: Adapting your insurance policies to stay ahead of the curve in light of increased regulatory oversight and investigations around the globe
- Tips on managing the rise in securities class action litigation, particularly in Canada and the US
- An assessment of the evolution of the provision of D&O cover for the private company market
- The impact of the heightened enforcement of anti-corruption legislation on your D&O policies, including the evolution of the US FCPA and what to expect with regard to the UK Bribery Act
- The latest cyber liability threats faced by D&Os: how leading insurers are dealing with these in their D&O policies
- An update on claims, settlements and litigation in key jurisdictions: trends and pitfalls to be aware of
- A focus on emerging threats in Asia: changes to the corporate governance regimes in the region and implications for D&O policies

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Every March, directors, officers, risk managers and insurance buyers, in addition to underwriters, brokers and claims professionals from around the globe choose to attend the most advanced and comprehensive D&O liability insurance event in Europe.

The fundamental principles governing directors’ and officers’ conduct have come under increasing judicial and regulatory scrutiny as a result of the corporate scandals in recent years, and the tougher stance towards executives since the financial crisis as stakeholders attempt to minimise losses.

Directors and officers, and the companies they represent, are under intense pressure from a wide array of potential claimants in relation to traditional securities class action litigation, M&A-related activity, shareholder derivative actions and the growing breadth of regulations and heightened enforcement. Moreover, the costs of defending these lawsuits continue to escalate.

**Directors and officers are becoming more aware of the scope of their potential liability and they are challenging their company’s level of D&O coverage due to concern about protecting their personal assets.**

These factors, in addition to the higher number of business insolvencies, have transformed the claims landscape and have sparked demand for D&O insurance coverage. Insurers need to ensure that they offer cutting-edge insurance solutions which are tailored to respond to the current challenges facing directors and officers but also be mindful of the need to continuously innovate to anticipate future problems.

**Discover the latest purchasing patterns for D&O coverage and the key concerns of insurance buyers and directors and officers in financial institutions, public and private companies.**

The 22nd edition of C5’s D&O Liability Insurance delivers an uncompromising, relevant and hard-hitting programme designed through in-depth industry research, to respond to your specific insurance challenges. Dispensing the latest insights, best practice and ‘need to know’ information, the 2013 agenda delivers unrivalled opportunities for practical learning, knowledge sharing and benchmarking.

**Plus, enhance your experience by attending our Pre-Conference Workshops on Monday 18th March 2013 and Earlier Riser Workshop on Wednesday 20th March**

**WORKSHOP A**

**Tailoring Your D&O Policies to Anticipate the Implications of the Jumpstart Our Business Startups Act (the US JOBS Act)**

The Act is intended to facilitate capital-raising by reducing regulatory burdens. The Act introduces changes that could impact the potential liability exposures of directors and officers of both public and private companies. This interactive workshop will be of particular interest to those with risk exposure in the US. However, a number of non-US companies are attracted by the flexibility offered by the Act and therefore insurers need to be aware of the implications for non-US companies.

Many of the changes introduced in the Act could require the D&O insurance industry to make changes in its underwriting and policy forms to accommodate the changes. As was the case with the Sarbanes-Oxley Act and the Dodd-Frank Act, the D&O insurance industry may face a long period where it must try to assess the impact of changes introduced by this broad, new legislation. The Act could introduce changes that might result in increased potential liability for certain companies (particularly those resorting to crowd funding financing).

**WORKSHOP B**

**Minimise Your Risk Exposure by Learning from Claims**

Risk managers often state that they need to have more examples of claims from underwriters and brokers in order to understand the reality of the claims, to put the claims in context and hear a description of what happened to the companies and the directors. This enables risk managers to explain to management why they need to consider obtaining D&O insurance cover and the possible implementation of a local policy in certain countries.

**EARLY RISER WORKSHOP C**

**Key Considerations When Providing Cover to the Private Company D&O Market Worldwide**

The saturation of D&O cover for the public company marketplace has led to an increased drive towards the provision of cover for D&Os in private companies. Although not a new phenomenon, the industry is witnessing a concerted effort by insurance companies across the globe to increase the take up of D&O cover in the private company market.

The workshop leader will guide you through the special risks that arise in relation to directors and officers in private companies. The leader will guide you through a typical policy and will point out the essential aspects to be aware of so that you can tailor your policies accordingly.

Participants will receive a comprehensive set of valuable materials prepared by the speakers specifically for this event.

Reserve your place by calling +44 (0) 20 7878 6888, faxing your registration form to +44 (0) 20 7878 6885, sending an email to registrations@C5-Online.com or registering at www.C5-Online.com/directors

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If you can’t watch the live feed, the recorded archives of the presentations will also be available for you to view for 45 days after the conference is over, so you can re-watch sessions, or view any sessions you may have missed.

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“Today’s directors and officers face more risk than ever, due to a growing breadth of regulations and heightened enforcement.” – Strategic Risk, D&O Guide 2012
Pre-Conference Workshops A & B: 18th March 2013

A

Tailoring Your D&O Policies to Anticipate the Implications of the Jumpstart our Business Startups Act (the US JOBS Act)

Ann Longmore, Executive Vice President, D&O, EPL & Fiduciary Leader, Willis (US)
John Batch, Senior Vice President, Marsh (UK)
This interactive workshop will be of particular interest to those with risk exposure in the US. You will find out about key concerns regarding the US JOBS Act and the consequences for D&Os in US companies and non-US companies which are attracted by the flexibility offered by the Act.
- Overview of the original intention of the Act
- What are the key provisions?
- Consequences of the Act for D&Os and their D&O policies

B

Minimise Your Risk Exposure by Learning from Claims

Guillaume Deschamps, FINPRO CEMEA D&O Practice Leader, Marsh (France)
Hear real life claims examples so that you can put the claims in context and discover the consequences for the companies and their directors. Learn how to avoid such claims in future.
The workshop leader will:
- Guide you through examples of a variety of claims and discuss:
  - the background of the claims: who brought the claims and the motivation for bringing them
  - the size of the claims

Main Conference Day One: 19th March 2013

8.15 Registration and Coffee

8.45 Expectations of Insurance Buyers and Directors and Officers in the Current Market: How Are They Choosing and Evaluating Their Insurance Providers?

Johan Willaert, Insurance Manager, AGFA (Belgium)
Christine Wann, Group Risk Insurance Manager, Standard Life Employee Services Limited (UK)
Paul Wakefield, Non-Executive Director, Sompo Japan Insurance Company of Europe (in addition to 9 captive insurance entities) (Guernsey)
Samantha Wellington, Managing Counsel, Corporate Securities & Acquisitions, Oracle (US)*
Daniel Berdeaux, Former Senior Expert, Internal Assurance – Competency Center, ArcelorMittal (Belgium)
Virginia Strelen, Team Leader, North America, Ireland & Israel Team, Vistra (Luxembourg) S.à r.l. (Luxembourg)
Please see website for the most up-to-date speaker list

Moderator: Marcel Fischer, Senior Underwriter Facultative Casualty, Vice President, Europe, Middle East & Africa, Swiss Reinsurance Company Ltd (Switzerland)
Gain critical insights into the expectations of risk managers, directors and in-house counsel regarding D&O cover in this interactive Q&A session. Insurance Buyers will share their main concerns, motivations for purchasing D&O cover, critical challenges they are facing and participate in a lively dialogue with insurers and brokers to ensure that the process of buying D&O cover can be made easier and more effective for all parties.
- What is troubling insurance buyers in the current market?
- to what extent is regulatory action the most important factor?
- what regulatory action is particularly concerning?
- how significant is the threat of M&A related claims?
- what do these concerns vary between public and private companies?
- What are buyers looking for in this market?
- The key factors that drive companies to purchase D&O cover
- What are the main considerations that buyers are taking into account when purchasing D&O in the current market?
- to what extent is price the governing issue?
- how important is the scope of coverage?
- to what extent are D&Os considering increasing the limit of their liability? what are the reasons for this?
- What do insurance buyers want in terms of coverage for defence costs?
  - their views regarding pre-investigation costs
  - The most common inquiries from D&Os regarding coverage
  - How satisfied are insurance buyers with the handling of claims by their insurers? What can insurers do better?
  - How often are insurance buyers conducting an independent review of their D&O policies?
  - do they tend to use a law firm or a broker?
  - What factors differentiate insurers from their competitors?
  - How can the process of purchasing D&O cover be made easier?
  - How are risk managers and D&Os working with their insurers to ensure that risks are minimised?
  - What are their views on:
    - the purchase of local policies in foreign jurisdictions?
    - the structure of the D&O programmes?
    - how much knowledge do directors and insurance buyers currently have regarding how their primary D&O programme is structured?
    - how does this vary between private and public companies?
    - how can brokers continue educating private companies?
    - blending of their D&O programme with other coverages, such as EPL or fiduciary liability policies?
    - purchase of D&O liability insurance that covers only independent/outside directors?

Registration and Coffee (8.30 – 9.00 a.m.)

Registration and Coffee (1.00 – 1.30 pm)

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Networking Break

10.45  Identifying & Accessing New Lucrative Revenue Streams in an Increasingly Saturated Marketplace

Guillaume Deschamps, FINPRO CEMEA D&O Practice Leader, Marsh (France)
• What is current capacity in the market? how is this expected to change?
• Identifying pockets of opportunity: where are insurers getting an edge over their competitors in this soft market?
• Where are the areas of growth?
• Where are the new markets?
• What are the rates trends across Europe? How does this compare to the UK/US?
• What questions should insurers be asking insureds?
• Details of large D&O claims
• Steps that insurers should take to mitigate the risks
• Current market standards regarding coverage
• What are carriers offering?
• What are buyers requesting? how has this changed in the last year?
• How has the market evolved in the past 12/24 months?
• How is market expected to develop?

To what extent can we expect a flood of legal disputes as a result of regulatory investigations into financial institutions around the globe?
• Action that insurers should take now to pre-empt the increase in litigation
• How is the continuing Eurozone crisis impacting policies?
• An overview of the thriving market place in the US for combined EPLI/D&O policies for private companies
• Specific emerging risks that insurers must take into consideration
• New extensions and products being offered by insurers

Cyber Liability Exposures for D&Os: Ensuring That Your Policy Wordings and Pricing Adequately Reflect the Risks

Alessandro Lezzi, Information Technology, Media and Business Services Leader, Beazley (UK)
Kate Lyes, Senior Management Liability Underwriter, CFC Underwriting Limited (UK)
• Key areas of exposure for D&O as a result of cyber crime:
  - Risks involved in terms of losing sensitive customer data
  - What exposure does the mass outsourcing of data pose to insurers?
  - Theft of intellectual property
  - Business continuation risks
• Trends in claims against D&Os as a result of cyber crime
  - Which regions are witnessing the biggest increase in claims?
  - Activity relating to cyber liability? How big are the claims?
• Evolution of cyber liability, in Europe
  - How does this differ to developments in the US and Asia?
• The latest risks emerging as a result of new technology
• What challenges are D&Os facing when attempting to manage the latest risks?
• How are leading companies addressing the cyber threat?
• How are insurers adapting their policies?
• Latest products released by insurers
• Failure to maintain insurance exclusions in some US D&O policies: what happens when a D&O policy has such an exclusion and the insured suffers a cyber claim which is uninsured and the stakeholders sue the directors over failure to insure properly?
• The inter-relationship with EPLI
  - Problems encountered where employees circulate comments on social media channels regarding D&O issues e.g. complaints regarding the handling of data on company IT systems

The Impact of Increased Regulatory Oversight and Regulatory Investigations around the World, Including LIBOR Investigations: Steps to Take to Ensure You Stay Ahead of the Curve

Kevin La Croix, Executive Vice President, RT Pro Exec (US)
Ralf Rebetge, Manager Financial Institutions UK/Ireland – Assistant Vice President, Chubb Insurance Company of Europe SE (UK)
Cristiana Báez-Safa, Chief Underwriting Officer, Commercial Risks, International Professional, XL Insurance Company Limited (France)
Helga Munger, Senior Legal Counsel, Munich Re (Germany)
• An update on the regulatory investigations into the LIBOR manipulation scandal
4.15

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Vivian Robinson QC, Granof International Group LLC (US)

Perry S. Granof, • How are D&O insurers in the US responding to the heightened EPL & Fiduciary Leader, Available & What Insurers Are Looking For around the World on Your D&O Policies:

Enforcement of Anti-Corruption Legislation

• What impact has the US FCPA had on European companies?

• A round up of the current regulatory investigations around the world: trends and areas of key concern for D&Os:

• Which segments of the marketplace are most affected?

• Analysis of the follow-on litigation in the US and the implications for D&O policies:

- litigation taken under US anti-trust laws: in what circumstances would this be covered under a D&O insurance policy?

- the securities class action lawsuit against Barclays involving claims under the federal securities laws

- an update on the latest fraud actions

- shareholders' derivatives lawsuits against Citigroup, JP Morgan and Bank of America

- how likely is this to result in any measurable impact on the D&O marketplace in the US and elsewhere?

- what steps should insurers be taking in anticipation of any adverse effects on D&O policies?

• Other banking scandals that could generate follow-on litigation:

- regulatory action involving money laundering

- regulatory action regarding violation of trade embargoes

- how likely is it that these other banking scandals could lead to follow-on litigation activity?

- Aggressive auditing of health companies by regulators in the US (Medicaid & Medicare) and the issuing of fines and penalties: how are these filtering into D&O claims and how are US D&O insurers responding?

5.15

Networking Break

4.15 Evaluating the Impact of Heightened Enforcement of Anti-Corruption Legislation around the World on Your D&O Policies: Experience to Date, What Coverages Are Available & What Insurers Are Looking For

Ann Longmore, Executive Vice President, D&O, EPL & Fiduciary Leader, Willis (US)

Perry S. Granof, Managing Director, Granof International Group LLC (US)

Vivian Robinson QC, Partner, McGuireWoods London LLP (Formerly General Counsel, the Serious Fraud Office) (UK)

Suresh Ellawala, D&O/POS Product Leader, QBE Commercial Financial Lines (UK)

US FCPA

• How are D&O insurers in the US responding to the heightened enforcement by the US DOJ and the SEC?

• What types of investigations have taken place under the US FCPA? who are the US regulators focusing on?

• Types of claims that have been made under the US FCPA

• What impact has the US FCPA had on European companies?

• Types of claims that have arisen under the US FCPA in relation to European companies and lessons that insurers can learn

• Measures that European insurers can take to reduce their exposure

• What has been the impact of the Dodd Frank whistle-blower bounty?

• Payment of the first Dodd-Frank whistle-blower bounty: how likely is it that we will see more enforcement actions and follow-on civil litigation in the form of shareholder derivative suits, and even increased securities class action litigation

• Concerns of companies that company employees will bypass internal reporting mechanisms and go straight to the SEC

• Olympus whistle-blowing case study and latest developments regarding lawsuits against the Japanese directors: how are the insurers dealing with the potential pay outs?

• What’s next for US FCPA enforcement? How US enforcers are scrutinising business practices and the implications for D&Os

6.00

UK Bribery Act

• How to prepare for the anticipated heightened enforcement of the UK Bribery Act:

- what has the UK Bribery Act meant in practice for companies?

- what types of investigations and enforcement measures have taken place?

- wording and exclusions: what should underwriters of UK companies insert into policies based on exposures since implementation?

- how insurers of companies on the Continent with UK operations should respond

- what is the position regarding coverage of fines and penalties? action that insurance companies should be taking and the questions they should be asking insurers

• Practical experiences of working with the UK Bribery Act one year on: lessons for insurers:

- case study of alleged bribery by Bernie Ecclestone: how good is the UK Bribery Act if it did not work as intended in this scenario?

- to what extent can it be argued that the full effect of the UK Bribery Act has not yet been felt?

- how likely is this to change when we move out of recession and this becomes more settled law?

- the removal of the prosecution guidelines by the Government: what does this mean for D&Os? does this indicate that the Government is proposing to step up enforcement?

- what steps can insurers take to pre-empt further developments?

- to what extent can insurers use the same wording and exclusions to cover exposures under the UK Bribery Act and the US FCPA?

Anti-Corruption Legislation in Other Regions

• Update on anti-corruption legislation in other regions

• Impact on D&O policies

German Market Focus Session:

Latest Developments in the D&O Market and the Impact on Your D&O Policies

Dr Oliver Sieg, Partner and Rechtsanwalt, Noerr LLP (Germany)

Jürgen Reinschmidt, National Practice Leader, Financial Lines, Willis GmbH & Co. KG (Germany)

• Key liability issues facing directors and officers in Germany

• Insured vs. Insured liability in Germany: situations where the supervisory board sues the managing directors and the implications for D&O policies

- an analysis of the significance of the I v I claims in Germany compared to other countries

- Implications for D&O policies – key cases of note:

- Bayern LB and Sachsen LB cases: loss of money due to structured investments of the banks

- Arkandor: filing of claims against D&Os by insolvent trustee

- Addressing the question of the scope of the defence coverage and whether there is enough cover for D&Os

- Steps insurers should be taking to mitigate the risks: careful underwriting and drafting of policy wording and efficient claims handling

• Liability for D&Os relating to compliance with anti-trust regulations:

- Extent to which fines imposed for non-compliance with anti-trust regulations can be demanded as damages against D&Os. Can such claims be made under the D&O policy?

- Safeway case in the UK: no liability for D&Os if the company enters into cartels

- To what extent would the approach of the German courts differ in this situation?

• Internal investigations by supervisory boards: how these are affecting D&O policies

• Latest coverage issues encountered by insurers in Germany

- Avoidance and rescission of D&O policies after misrepresentation or fraud by the policy holder: implications of the Heros case

• Approaches of the courts: efforts to enhance efficiency and save time by combining cases

Conference Adjourns

Networking Drinks Reception
Early Riser Workshop: 8.00 am – 10.15 am

Key Considerations When Providing Cover to the Private Company D&O Market Worldwide

Chris Magee, Managing Director, Hartford Financial Products International Limited (UK)

Don’t miss this interactive and in-depth discussion on the special risks that arise in relation to D&Os in private companies and find out how to tailor your policies accordingly. The leading industry expert will lead you through a typical policy and point out the essential aspects to be aware of.

You will learn about:

- The specific risks in the private company D&O market
- Advice you should give to D&Os working in private companies on how to manage their risks
- Types of wordings that are appropriate for these policies
- Key restrictions that should be included
- Types of activities that should be excluded

- Red Flags
- Questions that underwriters should be asking insureds
- Variations in the types of risks depending on the jurisdiction so that you can tailor your policies to the risk exposure in the region
- Action that insurers should take to minimise risk when writing cover for this sector

With the D&O market for public companies reaching saturation point, learn more about this exciting new revenue stream and hear about the tactics being used by your competitors to secure a greater share of this potentially lucrative market. Learn from real life exposures and gain vital tools and resources which you can use back in the office when providing D&B cover for D&Os working in the private company arena.

Main Conference Day Two

10.00 Morning Refreshments

10.30 Asia Pacific Focus Sessions: Assessing the Developments in Asia and the Implications for D&O Policies

Uttara Vaid, Regional Director, South East Asia, Financial Services & Professions Group, Aon Risk Solutions (Singapore)

Carsten Keune

This session will focus on the opportunities Asia. Part A will focus on the market in China and the challenges faced by insurers. Part B will assess the current market in other parts of the Asia Pacific region and will look at the challenges and opportunities faced locally by insurers.

Part A: Focus on China:

- What is happening with regard to rates?
- How much capacity is there in the current market place?
  - how likely is it that insurers will continue to spread the risk across their company and across accounts in China?
  - is there any likelihood of change in this regard?
- The increasing claims trends in China:
  - to what extent has the claims activity in China increased?
  - what types of claims are we seeing?
  - where is the main claims activity?
  - what is the main source of the claims?
- Concerns regarding corruption: how is this affecting coverage?
  - recent initiatives and legislative reform to combat corruption:
  - what is the anticipated effect of this on D&O policies?
- Tips for insurers in China to ensure that their coverage is robust: voluntarily submitting to regular audits

Part B: Focus on the Rest of Asia Pacific (excluding China)

- How business is conducted and current market conditions
- Determining the extent of regulation and how this affects D&Os
  - how are corporate governance laws changing in Asia and how is this affecting the D&O landscape?
  - what are the criminal and civil liabilities of D&Os?
- To what extent can standard market wordings be enforced?
- Appraising the current claims environment and the future outlook
  - how many claims have been brought and by whom? how big are the claims?
  - rising claims activity in Thailand
  - how do insurers deal with these claims?
  - how are the defence costs dealt with?
- Who are the main players? are they mainly local or international companies? what opportunities are there for foreign insurers?

- what capacity do they have? what are the loss ratios?
- Which companies are looking to increase their market share there?
- Which reinsurers operate in those regions?
- reinsurance issues which affect D&O policies or claims handling
- Political circumstances that have an impact on D&Os
- What is the market reaction in terms of specific terms and exclusions?
- Challenges that foreign insurers face when offering cover to locals
- Successful strategies employed by leading insurers to penetrate the market
- D&O insurance cover in a corporate collapse: Bridgecorp case in Australia and Steigrad v BFSL in New Zealand – implications for D&Os when a third party claimant seeks a charge over the insurance proceeds of the D&O policy
- is it necessary to take out separate defence costs cover?
- steps that insurers should take:
  - to what extent can new language in D&O products be devised to prevent this outcome?

12.30 Networking Lunch

Tips and Techniques for Responding to the Increasing Amount of Claims Against D&Os Arising in Relation to Initial Public Offerings or From Mergers & Acquisitions Activity

Paul Schiavone, Global Chief Underwriting Officer, Special Lines, Zurich Insurance Group (UK)

Suresh Ellawala, D&O/POSI Product Leader, QBE Commercial Financial Lines (UK)

The Effect of Mergers & Acquisitions Objection Claims on D&Os:

- The number of objection lawsuits that have been made in the US
  - what form are these lawsuits commonly taking?
  - the impact of objection lawsuits on D&Os
  - how is this market expected to evolve in the coming months?
- Which regions are experiencing an increase in M&A activity?
- An assessment of the trends in the US in relation to Chinese IPOs
- Specific issues for D&Os on mergers and acquisitions
- How should companies deal with claims made in connection with an acquisition?
  - implementing a transaction D&O programme

Specific Issues Concerning Protection of Securities Insurance (POSI) for IPOs:

- Market trends:
  - how much demand is there?
  - how is this expected to change over the coming months?

11.30 Networking Break
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3.15 Successfully Tackling the Rise in Litigation Regarding Insurance Limits and Developments Regarding Payment of Pre-Investigation Costs

Edward Smerdon, Partner, Sedgwick LLP (UK)

- To what extent are insurers starting to provide:
  - cover for defence costs in addition to the limit?
  - limits on a “per loss” or “per claim” basis?
- What are the implications of these changes?
- The introduction of coverage for civil fines by some insurers: what is the likelihood of this being taken up by the market as a whole?
- To what extent are we seeing claimants attempting to access policy proceeds rather than the insureds themselves?
  - where is this development most prevalent?
  - key decisions which have enabled claimants to freeze policy proceeds and prevent directors from accessing the proceeds to pay for their defence costs
- what are the potential repercussions for D&O policies?
  - steps that insurers can take in response
- US perspective: duty to defend and the impact on policies
  - new developments in the marketplace regarding the mandatory advancement of defence costs under any circumstance
- UK perspective: an account of experiences with the changes to the UK costs regime on D&O policies
- Continental Europe: lawyer fees: an additional amount or part of the court amount?
- To what degree are D&O policies covering investigation costs?
  - developments regarding payment of pre-claim investigation or inquiry costs

3.15 Managing the Defence of Securities Class Actions around the World: Limiting the Impact on D&O Policies

Isabelle Hilaire, European D&O and Third Party Liability Product Manager, Chubb (France)
André Legrand, Senior Partner, Norton Rose Canada

Please see website for the most up-to-date speaker list

US:
- How many class actions have there been in the last 12 months?
  - has there been an increase?
  - what types of claims are being made?
  - what were the results?
  - which sectors are particularly vulnerable to actions?
  - legal aspects which have had an impact on D&O policies
- Implications for D&Os and their policies
- Steps insurers are taking to manage the increase in actions

Canada:
- Developments in legislation and case law in Canada in relation to securities class action and the impact on the D&O market in Canada and elsewhere
- Details of new entrants to the market including Lloyd’s syndicates from the London market
  - what are the attractions for foreign insurers moving to Canada?
  - the importance of the large energy market in Canada and the implications for D&Os
  - what are the pitfalls for foreign insurers moving to Canada?
  - the potential liabilities arising out of the double listing of Canadian companies

Europe:
- The European Commission consultation on collective redress
- How exposed are European companies to class actions?
- What developments have been made in connection with collective actions in Europe?
  - countries where collective actions can be made
  - impact of the Convenium case

Asia and Australia:
- Key changes in case law in Australia and the impact on D&Os
- How do they deal with D&O claims in Australia?
- Lessons insurers can learn from the more mature Australian market
- A review of class action activity in Asia

Evolution of D&O Cover for the Private Company Market? A Reminder of the Latest Key Risks Faced By D&Os in this Sector and Potential Pitfalls for Insurers

Chris Magee, Managing Director, Hartford Financial Products International Limited (UK)

• How has this market evolved?
  - to what extent are insurers continuing to move into the private company market?
  - to what extent is this market more of a target for D&O insurers today?
• Key factors driving insurers into this space
• What are the main risks faced by D&Os in private companies? how do these differ from public companies?
  - insolvency claims: trends in terms of claims made against D&Os in private as opposed to public companies
  - the main employment-related claims that are most commonly brought against D&Os in private companies
  - claims arising from M&A activity and alliances
• In what sense are there more lawsuits against D&Os now?
  - impact of the increase in regulatory oversight on the private company D&O market
  - which regions and sectors are particularly affected?
• Common challenges faced by insurance carriers moving into this arena: how insurers are surmounting these
• Pitfalls for insurers to be aware of when providing cover to D&Os in private companies
  - practical steps that insurers can take to mitigate the risks
• What is the current position with regard to charities and the not-for-profit sector?
• To what extent does the increase in premiums for private companies signal a potential hardening in the private segment with insurers looking to drive rates?
### DELEGATE DETAILS

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*For multiple delegate bookings please copy this form*

### PAYMENT DETAILS

#### BY CREDIT CARD
- Please charge my
  - [ ] AMEX
  - [ ] VISA
  - [ ] MasterCard
  - [ ] Discover Card

**NUMBER** | **EXP. DATE**
-----------|--------------

#### BY CHEQUE
I have enclosed a cheque for £__________ made payable to C5

#### BY BANK TRANSFER
C5 Communications Limited  
Account Name: C5 Communications Limited  
Bank Name: HSBC BANK Plc  
Bank Address: 31 Chequer Street, St Albans Herts AL1 3YN, UK  
Bank Branch: St Albans Branch  
BIC (Bank Identifier Code): MIDLGB22  
GBP Account (VAT num: 913 0992 30)  
IBAN: GB41 MID L 4040 0182 1816 22  
Sort Code: 40–40–01

If you wish to pay in Euros € or USD $ please contact Customer Service

#### FEE PER DELEGATE

<table>
<thead>
<tr>
<th>Package</th>
<th>Register &amp; Pay by February 15, 2013</th>
<th>Register &amp; Pay after February 15, 2013</th>
</tr>
</thead>
<tbody>
<tr>
<td>Webcast</td>
<td>£915</td>
<td>£1115</td>
</tr>
<tr>
<td>Conference Only</td>
<td>£1495</td>
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</tr>
<tr>
<td>Conference &amp; 1 Workshop</td>
<td>£1995</td>
<td>£2095</td>
</tr>
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</tr>
<tr>
<td>ELITEPASS*</td>
<td>£2645</td>
<td>£2745</td>
</tr>
</tbody>
</table>

#### TEAM DISCOUNTS
Booking 3 or more delegates? Call +44 (0) 20 7878 6888 for details.

#### 5 EASY WAYS TO REGISTER

- **WEBSITE:** www.C5-Online.com/directors
- **REGISTRATIONS & ENQUIRIES**  
  - Phone: +44 20 7878 6886  
  - Email: registrations@C5-Online.com  
  - Fax: +44 20 7878 6885
- **PLEASE RETURN TO**  
  - C5, Customer Service  
  - 6th Floor, Trans–World House, 100 City Road  
  - London EC1Y 2BP, UK

#### ADMINISTRATIVE DETAILS

- **Date:** 19 & 20 March 2013  
- **Time:** 8.45 am – 6.00 pm  
- **Venue:** Crowne Plaza – the City Hotel  
- **Address:** 19 New Bridge Street, London, EC4V 6DB, UK  
- **Telephone:** 0871 423 4901

An allocation of bedrooms is being held for delegates at a negotiated rate until 1 February 2013. To book your accommodation please call Venue Search on tel: +44 (0) 20 8541 5656 or e-mail beds@venuesearch.co.uk. Please note, lower rates maybe available when booking via the internet or direct with the hotel, but different cancellation policies will apply.

#### DOCUMENTATION IS PROVIDED BY WEBLINK

The documentation provided at the event will be available on weblink only. If you are not able to attend, you can purchase an electronic copy of the presentations provided to delegates on the day of the event. Please send us this completed booking form together with payment of £350 per copy requested. For further information please call +44 (0) 207 878 6888 or email enquiries@C5-Online.com.

#### CONTINUING EDUCATION

14 hours (conference only) plus 3 hours per workshop towards Continuing Professional Developments hours (Solicitors Regulation Authority). Please contact C5 for further information on claiming your CPD points.

#### PAYMENT POLICY

Payment is due in full upon your registration. Full payment must be received prior to the event otherwise entry will be denied. All discounts will be applied to the Main Conference Only fee (excluding add-ons), cannot be combined with any other offer, and must be paid in full at time of order. Group discounts available to individuals employed by the same organisation.

#### TERMS AND CONDITIONS

You must notify us by email at least 48 hours in advance if you wish to send a substitute participant. Delegates may not “share” a pass between multiple attendees without prior authorisation. If you are unable to find a substitute, please notify C5 in writing no later than 10 days prior to the conference date and a credit voucher will be issued to you for the full amount paid, redeemable against any other C5 conference. If you prefer, you may request a refund of fees paid less a 25% service charge. No credits or refunds will be given for cancellations received after 10 days prior to the conference date. C5 reserves the right to cancel any conference for any reason and will not be responsible for airfare, hotel or any other costs incurred by attendees. No liability is assumed by C5 for changes in programme date, content, speakers or venue.

#### INCORRECT MAILING INFORMATION

If you receive a duplicate mailing of this brochure or would like us to change any of your details, please email data@C5-Online.com or fax the label on this brochure to +44 (0) 20 7878 6887. To view our privacy policy go to www.C5-Online.com/privacy_policy_statement.

#### ELITEPASS
*ELITEPASS is recommended for maximum learning and networking value.

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